



NEWS RELEASE

# DFIN Recognized as Leader in Innovation and Investment Regulatory Reporting With Recent Award Wins

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Donnelley Financial Solutions was awarded NICSAs Innovation in Product and Marketing Award, as well as the Fund Intelligence Operations Award for Best Regulatory Reporting Solution

CHICAGO--(BUSINESS WIRE)-- Donnelley Financial Solutions (NYSE: DFIN), a leading risk and compliance company, announced that FundSuiteArc was awarded the **Fund Intelligence Operations and Service Award** for Best Regulatory Reporting Solution. This award comes on the heels of also winning the **2019 NICSAs NOVA Award** for Innovation in Product and Marketing.

“As technology continues to push the investment and asset management landscape forward, we focus on advising our clients, and providing them with the latest solutions, so they can effectively and efficiently comply with changing regulations and meet investor needs on a broader scale,” said Eric Johnson, president of Global Investment Markets at DFIN. “We are proud to be recognized as a leader in innovation and regulatory reporting as it is a testament to the support we provide our clients in complying with increasingly complex and data-intensive regulatory requirements.”

The entire investment market ecosystem is evolving, driven by a much-needed digital revolution with increasing focus on data. Regulatory bodies and investment companies are both being forced to quickly adapt to effectively navigate changing conditions, placing added stress on an already complex system. DFIN's **FundSuiteArc** solution allows clients to manage investment records, produce regulatory reports, and control structured and unstructured data, all with the flexibility of adjusting and adapting to the increase in regulatory scrutiny.

Both the Fund Intelligence Operations and Services Awards, as well as the NICSAs NOVA Awards, recognize outstanding contributions made by business, operations and technology leaders, in the asset management industry, who exemplify leadership and innovation.



## About Donnelley Financial Solutions (DFIN)

DFIN is a leading global risk and compliance solutions company. We provide domain expertise, enterprise software and data analytics for every stage of our clients' business and investment lifecycles. Markets fluctuate, regulations evolve, technology advances, and through it all, DFIN delivers confidence with the right solutions in moments that matter. Learn about DFIN's end-to-end risk and compliance solutions online at [DFINsolutions.com](https://www.dfinsolutions.com) or you can also follow us on Twitter [@DFINSolutions](https://twitter.com/DFINSolutions) or on [LinkedIn](https://www.linkedin.com/company/dfin).

## Forward-Looking Statements

This news release may contain "forward-looking statements" within the meaning of Section 21E of the Securities Exchange Act of 1934, as amended, and the U.S. Private Securities Litigation Reform Act of 1995. Readers are cautioned not to place undue reliance on these forward-looking statements and any such forward-looking statements are qualified in their entirety by reference to the following cautionary statements. All forward-looking statements speak only as of the date of this news release and are based on current expectations and involve a number of assumptions, risks and uncertainties that could cause the actual results to differ materially from such forward-looking statements. Readers are strongly encouraged to read the full cautionary statements contained in Donnelley Financial Solutions' (DFIN) filings with the SEC. Donnelley Financial Solutions (DFIN) disclaims any obligation to update or revise any forward-looking statements.

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