LIFE AND ACCIDENT AND HEALTH COMPANIES—ASSOCIATION EDITION

QUARTERLY STATEMENT

As of March 31, 2026
of the Condition and Affairs of the

EQUITABLE FINANCIAL LIFE AND ANNUITY COMPANY

NAIC Group Code 4965 (Current) 4965 (Prior) NAIC Company Code 62880 Employer's ID Number 13-3198083

Organized under the Laws of Colorado State of Domicile or Port of Entry Colorado. Country of Domicile United States of America

Incorporated/Organized: January 18, 1984 Commenced Business: June 01, 1984

Statutory Home Office: 14143 Denver West Parkway, Suite 520, Lakewood, CO 80401
Main Administrative Office: 8501 IBM Drive, Suite 150, Charlotte, NC 28262- Telephone Number: (704) 341-6308
Mail Address: 8501 IBM Drive, Suite 150, Charlotte, NC 28262, Telephone Number: (201) 679-3503
Primary Location of Books and Records: 8501 IBM Drive, Suite 150, Charlotte, NC 28262, Telephone Number: (201) 679-3503
Internet Website Address: www.equitable.com
Statutory Statement Contact: MaryEllen Braisted, Vice President, (201) 679-3503
E-Mail Address: controllers@equitable.com

OFFICERS

ROBIN MATTHEW RAJU
Chairman of the Board

NICHOLAS BURRITT LANE
President and Chief Executive Officer

KURT WILLIAM MEYERS
Executive Vice President and General Counsel

WILLIAM JAMES ECKERT IV
Executive Vice President, Chief Accounting Officer and Controller

PETER TIAN
Chief Financial Officer and Treasurer

XU "VINCENT" XUAN
Appointed Actuary

DIRECTORS

DAVID WHITCOMB KARR
PETER TIAN
NICHOLAS BURRITT LANE
YUN "JULIA" ZHANG
ROBIN MATTHEW RAJU

State of .....New Jersey..........
County of ..Bergen............ } ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by: william eckert
WILLIAM JAMES ECKERT IV
Executive Vice President,
Chief Accounting Officer and Controller

Signed by: Vincent Xuan
XU "VINCENT" XUAN
Appointed Actuary

Signed by: Francesca Divone
FRANCESCA DIVONE
Assistant Secretary

Subscribed and sworn to before me this 14th day of May, 2026

LAURRINE VENTURA
NOTARY PUBLIC
State of New Jersey
ID # 50213150
My Commission Expires 8/15/2028

a. Is this an original filing? Yes (x) No ( )
b. If no,
1. State the amendment number ______
2. Date filed ______
3. Number of pages attached ______

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	220,765,582	0	220,765,582	251,193,234
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less $ 0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less $ 0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less $ 0 encumbrances)	0	0	0	0
5. Cash ($ (2,477,003)), cash equivalents ($ 16,557,725) and short-term investments ($ 0)	14,080,722	0	14,080,722	10,708,212
6. Contract loans (including $ 0 premium notes)	22,944,115	81,738	22,862,377	64,179,412
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	257,790,419	81,738	257,708,681	326,080,858
13. Title plants less $ 0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	7,725,994	0	7,725,994	3,848,254
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	454,232	0	454,232	(2,416,381)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ 0 earned but unbilled premiums)	530,288	0	530,288	441,853
15.3 Accrued retrospective premiums ($ 0) and contracts subject to redetermination ($ 0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	37,633,940	0	37,633,940	11,632,077
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	38,928,073	0	38,928,073	808,464
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	645,513	318,813	326,700	1,028,566
19. Guaranty funds receivable or on deposit	172,350	0	172,350	167,603
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets ($ 0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	3,076,782	0	3,076,782	155,969
24. Health care ($ 0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	2,168,901	12,016	2,156,885	497,184
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	349,126,492	412,567	348,713,925	342,244,447
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	349,126,492	412,567	348,713,925	342,244,447
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Admitted Disallowed IMR	2,156,885	0	2,156,885	497,184
2502. Other Assets Non-Admitted	12,016	12,016	0	0
2503.	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2,168,901	12,016	2,156,885	497,184

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts $ 77,028,579 less $ 0 included in Line 6.3 (including $ 0 Modco Reserve)	77,028,579	79,445,545
2.	Aggregate reserve for accident and health contracts (including $ 0 Modco Reserve)	0	0
3.	Liability for deposit-type contracts (including $ 0 Modco Reserve)	0	0
4.	Contract claims:		
	4.1 Life	3,280,928	33,051,464
	4.2 Accident and health	0	0
5.	Policyholders' dividends/refunds to members $ 0 and coupons $ 0 due and unpaid	0	0
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including $ 0 Modco)	0	0
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including $ 0 Modco)	0	0
	6.3 Coupons and similar benefits (including $ 0 Modco)	0	0
7.	Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less $ 0 discount; including $ 0 accident and health premiums	594,742	696,267
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts	0	0
	9.2 Provision for experience rating refunds, including the liability of $ 0 accident and health experience rating refunds of which $ 0 is for medical loss ratio rebate per the Public Health Service Act	0	0
	9.3 Other amounts payable on reinsurance, including $ 0 assumed and $ 34,743,650 ceded	34,743,650	5,251,319
	9.4 Interest Maintenance Reserve	0	0
10.	Commissions to agents due or accrued-life and annuity contracts $ 0 , accident and health $ 0 and deposit-type contract funds $ 0	0	0
11.	Commissions and expense allowances payable on reinsurance assumed	0	0
12.	General expenses due or accrued	0	0
13.	Transfers to Separate Accounts due or accrued (net) (including $ 0 accrued for expense allowances recognized in reserves, net of reinsured allowances)	0	0
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes	1,385,127	1,580,337
15.1	Current federal and foreign income taxes, including $ 0 on realized capital gains (losses)	3,296,894	2,493,791
15.2	Net deferred tax liability	0	0
16.	Unearned investment income	3,686,791	7,148,361
17.	Amounts withheld or retained by reporting entity as agent or trustee	0	0
18.	Amounts held for agents' account, including $ 0 agents' credit balances	0	0
19.	Remittances and items not allocated	2,191,902	14,867
20.	Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21.	Liability for benefits for employees and agents if not included above	0	0
22.	Borrowed money $ 0 and interest thereon $ 0	20,000,000	0
23.	Dividends to stockholders declared and unpaid	0	0
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve	1,719,454	1,957,603
	24.02 Reinsurance in unauthorized and certified ($ 0) companies	0	0
	24.03 Funds held under reinsurance treaties with unauthorized and certified ($ 0) reinsurers	0	0
	24.04 Payable to parent, subsidiaries and affiliates	365,117	18,281,129
	24.05 Drafts outstanding	0	0
	24.06 Liability for amounts held under uninsured plans	0	0
	24.07 Funds held under coinsurance	0	0
	24.08 Derivatives	0	0
	24.09 Payable for securities	0	0
	24.10 Payable for securities lending	0	0
	24.11 Capital notes $ 0 and interest thereon $ 0	0	0
25.	Aggregate write-ins for liabilities	521,670	872,749
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	148,814,854	150,793,432
27.	From Separate Accounts Statement	0	0
28.	Total liabilities (Lines 26 and 27)	148,814,854	150,793,432
29.	Common capital stock	2,500,000	2,500,000
30.	Preferred capital stock	0	0
31.	Aggregate write-ins for other than special surplus funds	0	0
32.	Surplus notes	0	0
33.	Gross paid in and contributed surplus	181,346,501	181,346,501
34.	Aggregate write-ins for special surplus funds	2,156,885	497,184
35.	Unassigned funds (surplus)	13,895,685	7,107,330
36.	Less treasury stock, at cost:		
	36.1 0 shares common (value included in Line 29 $ 0)	0	0
	36.2 0 shares preferred (value included in Line 30 $ 0)	0	0
37.	Surplus (Total Lines 31+32+33+34+35-36) (including $ 0 in Separate Accounts Statement)	197,399,071	188,951,015
38.	Totals of Lines 29, 30 and 37	199,899,071	191,451,015
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	348,713,925	342,244,447
	DETAILS OF WRITE-INS		
2501.	Miscellaneous liabilities	249,285	159,702
2502.	Accrued interest on policy claims	272,385	713,047
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	521,670	872,749
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page	0	0
3199.	Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.	Admitted Disallowed IMR	2,156,885	497,184
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	2,156,885	497,184

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	13,230,577	(687,386)	(245,461,642)
2.	Considerations for supplementary contracts with life contingencies	0	0	0
3.	Net investment income	2,449,431	6,120,436	15,361,751
4.	Amortization of Interest Maintenance Reserve (IMR)	(90,330)	(136,718)	(513,915)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6.	Commissions and expense allowances on reinsurance ceded	276,626	394,042	11,917,987
7.	Reserve adjustments on reinsurance ceded	0	0	0
8.	Miscellaneous Income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0
	8.2 Charges and fees for deposit-type contracts	0	0	0
	8.3 Aggregate write-ins for miscellaneous income	296,154	0	361,842
9.	Totals (Lines 1 to 8.3)	16,162,458	5,690,374	(218,333,977)
10.	Death benefits	5,936,114	1,633,382	45,710,276
11.	Matured endowments (excluding guaranteed annual pure endowments)	0	633,584	633,584
12.	Annuity benefits	0	0	0
13.	Disability benefits and benefits under accident and health contracts	18,620	17,291	124,659
14.	Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15.	Surrender benefits and withdrawals for life contracts	(65,934)	3,301,360	3,891,885
16.	Group conversions	0	0	0
17.	Interest and adjustments on contract or deposit-type contract funds	1,194,692	(52,759)	1,538,339
18.	Payments on supplementary contracts with life contingencies	0	0	0
19.	Increase in aggregate reserves for life and accident and health contracts	(2,416,966)	(4,754,815)	(281,735,008)
20.	Totals (Lines 10 to 19)	4,666,526	778,043	(229,836,265)
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	(22,354)	122,702	1,247,322
22.	Commissions and expense allowances on reinsurance assumed	0	0	0
23.	General insurance expenses and fraternal expenses	386	152	1,908
24.	Insurance taxes, licenses and fees, excluding federal income taxes	(96,520)	(65,479)	75,897
25.	Increase in loading on deferred and uncollected premiums	(10,074)	(12,337)	(14,551)
26.	Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0
27.	Aggregate write-ins for deductions	172	2,135	317,338
28.	Totals (Lines 20 to 27)	4,538,136	825,216	(228,208,351)
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	11,624,322	4,865,158	9,874,374
30.	Dividends to policyholders and refunds to members	0	0	0
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	11,624,322	4,865,158	9,874,374
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	803,103	217,480	2,311,624
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	10,821,219	4,647,678	7,562,750
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $ 465,198 (excluding taxes of $ (465,198) transferred to the IMR)	(465,198)	4,979	217,828
35.	Net income (Line 33 plus Line 34)	10,356,021	4,652,657	7,780,578
	CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year	191,451,015	154,342,688	154,342,688
37.	Net income (Line 35)	10,356,021	4,652,657	7,780,578
38.	Change in net unrealized capital gains (losses) less capital gains tax of $ 0	0	0	0
39.	Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40.	Change in net deferred income tax	(888,484)	(838,263)	(6,835,506)
41.	Change in nonadmitted assets	578,652	691,684	4,603,550
42.	Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43.	Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44.	Change in asset valuation reserve	238,149	(74,764)	(111,306)
45.	Change in treasury stock	0	0	0
46.	Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47.	Other changes in surplus in Separate Accounts Statement	0	0	0
48.	Change in surplus notes	0	0	0
49.	Cumulative effect of changes in accounting principles	0	0	0
50.	Capital changes:			
	50.1 Paid in	0	0	0
	50.2 Transferred from surplus (stock dividend)	0	0	0
	50.3 Transferred to surplus	0	0	0
51.	Surplus adjustment:			
	51.1 Paid in	0	0	0
	51.2 Transferred to capital (stock dividend)	0	0	0
	51.3 Transferred from capital	0	0	0
	51.4 Change in surplus as a result of reinsurance	(4,062,286)	0	31,671,011
52.	Dividends to stockholders	0	0	0
53.	Aggregate write-ins for gains and losses in surplus	2,226,004	0	0
54.	Net change in capital and surplus for the year (Lines 37 through 53)	8,448,056	4,431,314	37,108,327
55.	Capital and surplus, as of statement date (Lines 36 + 54)	199,899,071	158,774,002	191,451,015
	DETAILS OF WRITE-INS			
08.301.	Sundry receipts and adjustments	296,154	0	361,842
08.302.		0	0	0
08.303.				
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399.	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	296,154	0	361,842
2701.	Sundry disbursements and adjustments	172	2,135	2,134
2702.	IMR Ceded	0	0	315,204
2703.				
2798.	Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799.	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	172	2,135	317,338
5301.	Prior year adjustments	2,226,004	0	0
5302.				
5303.				
5398.	Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399.	Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	2,226,004	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	10,180,078	386,811	(6,328,571)
2.	Net investment income	(4,942,516)	2,855,226	16,393,581
3.	Miscellaneous income	(214,033)	394,042	2,497,041
4.	Total (Lines 1 to 3)	5,023,529	3,636,079	12,562,051
5.	Benefit and loss related payments	71,483,169	(9,654,506)	12,847,655
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	81,641	370,533	1,373,630
8.	Dividends paid to policyholders	0	0	0
9.	Federal and foreign income taxes paid (recovered) net of $ 0 tax on capital gains (losses)	0	0	0
10.	Total (Lines 5 through 9)	71,564,810	(9,283,973)	14,221,285
11.	Net cash from operations (Line 4 minus Line 10)	(66,541,281)	12,920,052	(1,659,234)
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	28,265,060	2,785,216	15,162,946
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	0	0	0
	12.4 Real estate	0	0	0
	12.5 Other invested assets	0	0	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
	12.7 Miscellaneous proceeds	0	0	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	28,265,060	2,785,216	15,162,946
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	0	6,388,196	34,208,593
	13.2 Stocks	0	0	0
	13.3 Mortgage loans	0	0	0
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	0	0	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	0	6,388,196	34,208,593
14.	Net increase/(decrease) in contract loans and premium notes	(41,322,059)	10,306,935	1,167,442
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	69,587,119	(13,909,915)	(20,213,089)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0	0
	16.3 Borrowed funds	20,000,000	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	(19,673,328)	(18,632,292)	(1,645,742)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	326,672	(18,632,292)	(1,645,742)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	3,372,510	(19,622,155)	(23,518,065)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	10,708,212	34,226,277	34,226,277
	19.2 End of period (Line 18 plus Line 19.1)	14,080,722	14,604,122	10,708,212

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. See Note1A(1) in the Notes to Financial Statements for non-cash transactions	0	0	0

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Individual life	(279,428)	1,533,781	15,591,520
2. Group life	0	0	0
3. Individual annuities	0	0	0
4. Group annuities	0	0	0
5. Accident & health	0	0	0
6. Fraternal	0	0	0
7. Other lines of business	0	0	0
8. Subtotal (Lines 1 through 7)	(279,428)	1,533,781	15,591,520
9. Deposit-type contracts	0	0	0
10. Total (Lines 8 and 9)	(279,428)	1,533,781	15,591,520

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of Equitable Financial Life and Annuity Company ("the Company") have been prepared in conformity with accounting practices and procedures of the National Association of Insurance Commissioners as prescribed or permitted by the Division of Insurance of the State of Colorado (“SAP”)

The Colorado State Insurance Department recognizes only Statutory Accounting Practices prescribed or permitted by the State of Colorado for determining and reporting the financial condition and results of operations of an insurance company, in order to determine its solvency under the Colorado State Insurance Laws. The National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures manual (“NAIC SAP”), has been adopted as a component of prescribed or permitted practices by the State of Colorado.

Corebridge Merger

The Company is an indirect, wholly-owned subsidiary of Equitable Holdings, Inc. On March 26, 2026, Equitable Holdings, Inc., a Delaware corporation (“Holdings”), entered into an Agreement and Plan of Merger (the “Merger Agreement”), by and among Holdings, Corebridge Financial, Inc., a Delaware corporation (“Corebridge”), Mountain Holding, Inc., a newly formed Delaware corporation and wholly-owned subsidiary of Corebridge (“HoldCo”), Marcy Holding, Inc., a newly formed Delaware corporation and a wholly-owned subsidiary of HoldCo (“Equitable Merger Sub”), and Palisade Holding, Inc., a newly formed Delaware corporation and a wholly-owned subsidiary of HoldCo (“Corebridge Merger Sub”).

Holdings and Corebridge have agreed, subject to the terms and conditions of the Merger Agreement, to effect an all-stock merger transaction to combine their respective businesses by: (a) Corebridge Merger Sub merging with and into Corebridge, with Corebridge surviving such merger as a wholly-owned subsidiary of HoldCo (the “Corebridge Merger”), (b) immediately following the consummation of the Corebridge Merger, Equitable Merger Sub merging with and into Holdings, with Holdings surviving such merger as a wholly-owned subsidiary of HoldCo (the “Equitable Merger” and, together with the Corebridge Merger, the “Mergers”), and (c) as of the closing of the Mergers (the “Closing”), changing the name of HoldCo to “Equitable Holdings, Inc.”

The Merger Agreement and the consummation of the transactions contemplated by the Merger Agreement have been unanimously approved by the boards of directors of both companies. The transaction is expected to close by year-end of 2026, subject to customary closing conditions, including the receipt of required regulatory approvals and approval of shareholders of both Corebridge and Holdings.

There are no differences in Net income and Capital and Surplus between NAIC SAP and SAP for the Company. See table below:

	SSAP #	F/S Page	F/S Line #	March 31, 2026	December 31, 2025
NET INCOME					
(1) EQUITABLE FINANCIAL LIFE AND ANNUITY COMPANY state basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	$ 10,356,021	$ 7,780,578
(2) State Prescribed Practices that increase/decrease NAIC SAP					
(3) State Permitted Practices that increase/decrease NAIC SAP					
(4) NAIC SAP (1 - 2 - 3 = 4)	XXX	XXX	XXX	$ 10,356,021	$ 7,780,578
SURPLUS					
(5) EQUITABLE FINANCIAL LIFE AND ANNUITY COMPANY state basis (Page 3, line 38, Columns 1 & 2)	XXX	XXX	XXX	$199,899,071	$ 191,451,015
(6) State Prescribed Practices that increase/decrease NAIC SAP					
(7) State Permitted Practices that increase/decrease NAIC SAP					
(8) NAIC SAP (5 - 6 - 7 = 8)	XXX	XXX	XXX	$199,899,071	$ 191,451,015

NOTES TO FINANCIAL STATEMENTS

2. Listed below is the supplemental disclosures of cash flow transactions for non-cash items excluded in the Cash Flow:

	March 31, 2026	December 31, 2025
Premiums and annuity considerations	$ —	$ 239,305,215
Miscellaneous Income	(786,813)	(41,453,799)
Total	(786,813)	197,851,416
Benefit and loss related payments	—	785,041
Commissions and expenses paid	—	—
Total	—	198,636,457
Net cash (used in) provided from operations	$ (786,813)	$ 197,066,375
Bonds proceeds	—	(65,455,585)
Stocks proceeds	—	—
Policy loans proceeds	—	—
Real estate proceeds	—	—
Other invested assets proceeds	—	—
Total investment proceeds	—	(65,455,585)
Bonds acquired	—	—
Stocks acquired	—	—
Policy loans acquired	—	—
Real estate acquired	—	—
Other invested assets acquired	—	—
Total investments acquired	—	—
Net increase (decrease) in policy loans	—	$ 131,610,790
Net cash (used in) from investments	$ —	$ 131,610,790
Capital and paid in surplus		
Dividends to stockholders		
Other cash provided (applied)	786,813	—
Net cash from financing and miscellaneous sources	$ 786,813	$ —
Total non-cash transactions	$ —	$ —

B. Use of Estimates in Preparation of the Financial Statements

No significant change.

C. Accounting Policy

(2) No significant change

(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. Prepayment assumptions for loan-backed bonds and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is predominately used for securities; the prospective adjustment method was used for issues in default and issues that have a variable interest rate.

D. Going Concern

No significant change.

NOTES TO FINANCIAL STATEMENTS

2. Accounting Changes and Corrections of Errors

A. Corrections of Errors:

Prior Year Adjustments recorded in 2026

During the first quarter of 2026, the Company identified an issue related to accounting for the RGA reinsurance transaction entered into in 2025. The issue stemmed from a Day 1 overpayment. A recalculation was required and a positive $2.2 million adjustment should have been recorded to Page 4, Line 1 – Premiums, with the resulting gain/loss impact reclassified to Page 4, Line 6 – Commissions and expense allowances on reinsurance ceded and Page 4, Line 51.4 – Changes in surplus as a result of reinsurance. As a result and in accordance with SSAP #3, the Company recorded a correction to increase opening surplus by a net amount of approximately $2.2 million within Page 4 write-in Line 53 – Prior Year Adjustments and included a current and deferred tax expense of $0.5 million within the appropriate tax lines

The Company's management does not believe the aforementioned prior year corrections to be material to the Company's results of operations, financial position, or cash flow for any of the Company's previously filed annual statements.

There were no correction of errors in 2025

B. Accounting Changes

Effective January 1, 2025 the Company adopted revisions to SSAP 26 – *Bonds* to incorporate their principles-based bond definition project's (PBBD) concepts on what should be reported as a long-term bond. In accordance with the transition guidance specifically for PBBD, this is not a change in accounting principle. Refer to Note 21C – *Other Items – Other Disclosures* for additional details.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments

A-C No significant change.

D. Loan-Backed Securities

(1) Prepayment assumptions for loan-backed bonds and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to value all securities except issues in default; the prospective adjustment method was used to value issues in default and issues that have a variable interest rate.

(2) and (3) There were no loan-backed securities with a recognized other than temporary impairment as of March 31, 2026

(4) All impaired (fair value is less than cost or amortized cost) loan-backed securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than temporary impairment for non-interest related declines when a non-recognized interest related impairment remains) as of 03/31/2026:

a.	The aggregate amount of unrealized losses:	1. Less than 12 Months	$	4,384
		2. 12 Months or Longer	$	69,085
b.	The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	$	237,145
		2. 12 Months or Longer	$	1,010,621

The aggregate unrealized losses include $0 of valuation allowance already recognized through surplus.

(5) The Company's management, with the assistance of its investment advisors, monitors the investment performance of its portfolio. This review process culminates with a quarterly review of certain assets by Equitable Holding's Investments Under Surveillance Committee that evaluates whether any investments are other than temporarily impaired. The review considers an analysis of individual credit metrics of each issuer as well as industry fundamentals and the outlook for the future. Based on the analysis, a determination is made as to the ability of the issuer to service its debt obligation on an ongoing basis. If this ability is deemed to be impaired, then the appropriate provisions are taken.

E. Dollar Repurchase Agreements and /or Securities Lending Transactions - NONE

F. Repurchase Agreements Transactions Accounting for as Secured Borrowing - NONE

G. Reverse Repurchase Agreements Transactions Accounting for as Secured Borrowing - NONE

NOTES TO FINANCIAL STATEMENTS

H. Repurchase Agreements Transactions Accounted for as a sale - NONE

I. Reverse Repurchase Agreements Transactions Accounted for as a sale - NONE

J. Real Estate - NONE

K. Low income housing tax credit (“LIHTC”) - NONE

L. Restricted Assets

(1) Restricted Assets (including Pledged):

	Gross (Admitted & Nonadmitted) Restricted							Current Year			
	Current Year							Percentage			
	1	2	3	4	5	6	7	8	9	10	11
	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
b. Collateral held under security lending agreements	$ —	$ —	$ —	$ —	$ —		$ —	$ —	$ —	0	$ —
c. Subject to repurchase agreements	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
d. Subject to reverse repurchase agreements	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
e. Subject to dollar repurchase agreements	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
f. Subject to dollar reverse repurchase agreements	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
g. Placed under option contracts	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
i. FHLB capital stock	$ —	$ —	$ —	$ —	$ —		$ —	$ —	$ —	0	$ —
j. On deposit with states	$4,409,932	$ —	$ —	$ —	$4,409,932	$4,408,055	$ 1,877	$ 4,409,932	$ —	1.263 %	1.265 %
k. On deposit with other regulatory bodies	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
l. Pledged collateral to FHLB (including assets backing funding agreements)	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	0	$ —
m. Pledged as collateral not captured in other categories	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
n. Other restricted assets	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
o. Collateral Assets Received and on Balance Sheet	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	0	$ —
p. Assets held under Modco Reinsurance Agreements	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
q. Assets held under Funds Withheld Reinsurance Agreements	$ —	$ —	$ —	$ —	$ —	0	$ —	$ —	$ —	0	$ —
r. Total Restricted Assets (Sum of a through q)	$ 4,409,932	$ —	$ 0	$ 0	$ 4,409,932	$ 4,408,055	$ 1,877	$ 4,409,932	$ 0	1.263 %	1.265 %

(a) Subset of Column 1 (b) Subset of column 3

(c) Column 5 divided by Asset Page, Column 1, Line 28 (d) Column 9 divided by Asset Page, Column 3, Line 28

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, are Reported in the Aggregate): - NONE

(3) Detail of Other Restricted Assets (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, are Reported in the Aggregate): - NONE

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements - NONE

M. Working Capital Finance Investments - NONE

N. Offsetting and Netting of Assets and Liabilities - NONE

O. 5GI Securities - NONE

P. Short Sales - NONE

Q. Prepayment Penalty and Acceleration Fees

	General Account
(1) Number of CUSIPs	—
(2) Aggregate Amount of Investment Income	$—

NOTES TO FINANCIAL STATEMENTS

R. Reporting Entity's Share of Cash Pool by Asset Type

	Asset Type	Percent Share
(1)	Cash	— %
(2)	Cash Equivalents	— %
(3)	Short-Term Investments	— %
(4)	**Total**	**— %**

S. Aggregate Collateral Loans by Qualifying Investment Collateral - NONE

6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

7. Investment Income

No significant change.

8. Derivative Instruments

No significant change.

9. Income Taxes

Consideration of the Inflation Reduction Act (Act) for First quarter 2026 Financial Statements:

A. The Inflation Reduction Act (Act) was enacted on August 16, 2022, and included a new corporate alternative minimum tax (CAMT). The Act and CAMT go into effect for the tax years beginning after 2022.

B. The Company is included in a consolidated federal income tax return together with its ultimate domestic parent, Equitable Holdings, Inc. (“EQH”). EQH has determined that it is an applicable corporation for CAMT in 2026. EQH has not determined as of the reporting date if it will be liable for CAMT in 2026.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A/B & C.

On February 20, 2026, the Company issued $10.0 million of floating rate notes to EFS. The notes had an interest rate of one-month SOFR plus 5 basis points and will be repaid on September 25, 2026.

On February 27, 2026, the Company issued $10.0 million of floating rate notes to EFS. The notes had an interest rate of one-month SOFR plus 5 basis points and will be repaid on September 25, 2026.

D-O - No significant change

11. Debt

A. Debt and Capital Notes

No significant change.

B. Federal Home Loan Bank (“FHLB”)

The Company has no FHLB agreement.

12. Retirement Plans, Deferred Compensation, Post employment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

13. Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations

No significant change

14. Liabilities, Contingencies And Assessments

No significant change

15. Leases

No significant change.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

NOTES TO FINANCIAL STATEMENTS

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

(a) No significant change.
(b) The Company had no transfer of financial assets.
(c) The Company had no wash sales.

18. Gain or Loss to the Reporting Entity from Uninsured Portion of Partially Insured Plans

No significant change.

19. Direct Premium Written by Managing General Agents/Third Party Administrators

No significant change.

20. Fair Value Measurement

A. The Company has no invested assets that were required to be reported at fair value at March 31, 2026 and 2025

B. NONE

C. Aggregate Fair Value of all Financial Instruments:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Practicable (Carrying
Bonds:						
Issuer Credit Obligations	$ 211,371,436	$ 219,444,347	$ 0	$ 211,371,436	0	0
Asset-Backed Securities	$ 1,247,766	$ 1,321,235	$ 0	$ 1,247,766	0	0
Total Bonds	$ 212,619,202	$ 220,765,582	$ 0	$ 212,619,202	$ 0	$ 0
Preferred Stock						
Common Stock						
Mortgage Loans on Real Estate						
Policy Loans	$ 34,216,250	$ 22,862,377	$ 0	$ 0	$ 34,216,250	$ 0
Other Invested Assets						
Derivatives						
Separate Accounts						
Policyholders liabilities: Investment contracts						

D. NONE

21. Other Items:

A,B, D-I.

No significant change

C. Other Disclosures

In accordance with adoption of the revision to SSAP 26 – *Bonds*, effective January 1, 2025, the Company had no impacted investments to reclassify from Schedule D.

NOTES TO FINANCIAL STATEMENTS

J. Reporting Net Negative (Disallowed) Interest Maintenance Reserve (IMR)

1. Net Negative (disallowed) IMR

Total	General Account	Insulated Separate Account	Non-Insulated Separate Account
$ 2,156,885	$ 2,156,885		

2. Negative (disallowed) IMR admitted

Total	General Account	Insulated Separate Account	Non-Insulated Separate Account
$ 2,156,885	$ 2,156,885		

3. Calculated adjusted capital and surplus

	Total
Prior Period General Account Capital & Surplus From Prior Period SAP Financials	$ 191,451,015
Net Positive Goodwill (admitted)	
EDP Equipment & Operating system Software (admitted)	
Net DTAs (admitted)	$ 1,028,566
Net Negative (disallowed) IMR (admitted)	$ 497,184
Adjusted Capital & Surplus	$ 189,925,265

4. Percentage of adjusted capital and surplus

	Total
Percentage of Total Net Negative (disallowed) IMR admitted in General Account or recognized in Separate account to adjusted capital and surplus	1.1 %

Effective September 30, 2025, in accordance with new guidance relating to the INT 23-01 - Net Negative (Disallowed) Interest Maintenance Reserve for an additional new test for admission using current period unadjusted surplus, the Company has evaluated its current period ending capital and surplus position (before any non-admission of IMR) and determined that no additional IMR non-admission was necessary over and above using the old INT 23-01 rule. The old INT 23-01 rule only used adjusted capital and surplus figures from the most recently filed statement with the domiciliary state commissioner.

5. Allocated gains/losses to IMR from derivatives

	Gains	Losses
Unamortized Fair Value Derivative Gains & Losses Realized to IMR - Prior Period		
Fair Value Derivative Gains & Losses Realized to IMR - Added in Current Period		
Fair Value Derivative Gains & Losses Amortized Over Current Period		
Unamortized Fair Value Derivative Gains & Losses Realized to IMR - Current Period Total		

The Company attests to the following statements:

i. Fixed income investments generating IMR losses comply with the Company’s documented investment or liability management policies.

ii. IMR losses for fixed income related derivatives - Not applicable

iii. Any deviation to 13.c.i is because of a temporary and transitory timing issue or related to a specific event.

iv. Asset sales that were generating admitted negative IMR were not compelled by liquidity pressures.

NOTES TO FINANCIAL STATEMENTS

22. Events Subsequent

No significant change.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts and Contracts Subject to Re-determination - NONE

25. Change in Incurred Losses and Loss Adjustment Expenses -

N/A

26. Inter-company Pooling Arrangements

No significant change.

27. Structured Settlements

No significant change.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. Reserves for Life Contracts and deposits-Type Contracts

No significant change.

32. Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

34. Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

35. Separate Accounts

No significant change.

36. Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? .... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .... Yes [ ] No [X]

2.2 If yes, date of change: ....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? .... Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.
....

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? .... Yes [X] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001333986

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .... Yes [ ] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .... Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.
....

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2025

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2020

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 08/22/2022

6.4 By what department or departments?
Division of Insurance of the State of Colorado ....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .... Yes [X] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? .... Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .... Yes [ ] No [X]

7.2 If yes, give full information:
....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? .... Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
AllianceBernstein Investments, Inc.	New York, NY				YES
AllianceBernstein Corporation	Nashville TN				YES
AllianceBernstein Holding LP	Nashville TN				YES
AllianceBernstein LP	Nashville TN				YES
Sanford C. Bernstein & Co. LLC	New York, NY				YES
Equitable Advisors, LLC	New York, NY				YES
Equitable Distributors, LLC	New York, NY				YES
Equitable Financial Life Insurance Company	New York, NY				YES
Equitable Investment Management Group, LLC	New York, NY				YES
AB Private Credit Investors LLC	New York, NY				YES
AB Custom Alternative Solutions LLC	New York, NY				YES
Equitable Holdings, Inc.	New York, NY				YES
Equitable Financial Life Insurance Company of America	New York, NY				YES
AB Broadly Syndicated Loan Manager LLC	Nashville TN				YES
AB CarVal Investors, LP	Minneapolis, MN				YES
AllianceBernstein Investor Services, Inc.	San Antonio, TX				YES
Equitable Investment Management, LLC	New York, NY				YES

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ...... Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is no, please explain:

9.2 Has the code of ethics for senior managers been amended? ...... Yes [ ] No [X]

9.21 If the response to 9.2 is yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ...... Yes [ ] No [X]

9.31 If the response to 9.3 is yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ...... Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ...... $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ...... Yes [X] No [ ]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ...... $ 0

13.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ...... Yes [ ] No [X]

13.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
13.21 Bonds	$ 0	$ 0
13.22 Preferred Stock	$ 0	$ 0
13.23 Common Stock	$ 0	$ 0
13.24 Short-Term Investments	$ 0	$ 0
13.25 Mortgage Loans on Real Estate	$ 0	$ 0
13.26 All Other	$ 0	$ 0
13.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 13.21 to 13.26)	$ 0	$ 0
13.28 Total Investment in Parent included in Lines 13.21 to 13.26 above	$ 0	$ 0

14.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ...... Yes [ ] No [X]

14.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ...... Yes [ ] No [X] N/A [ ]
If no, attach a description with this statement.

15. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

15.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ...... $ 0

15.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ...... $ 0

15.3 Total payable for securities lending reported on the liability page. ...... $ 0

GENERAL INTERROGATORIES

16. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? .... Yes [X] No [ ]

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP MORGAN CHASE BANK	780 Delta Dr Monroe. LA 71203
BANK OF NEW YORK MELLON	One Wall Street, New York, NY 10286
AB INVESTOR SERVICES INC	8000IH 10 W 13Th Fl, San Antonio, TX 78230

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? .... Yes [ ] No [X]

16.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. This includes both primary and sub-advisors. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Alliance Bernstein, LP	A

16.5097 For those firms/individuals listed in the table for Question 16.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?... Yes [ ] No [X] N/A [ ]

16.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 16.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?.. Yes [ ] No [X] N/A [ ]

16.6 For those firms or individuals listed in the table for 16.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Registered With	4 Investment Management Agreement (IMA) Filed
108477	Alliance Bernstein, LP	SEC	DS

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? .... Yes [X] No [ ]

17.2 If no, list exceptions:

....

18. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? .... Yes [ ] No [X]

GENERAL INTERROGATORIES

19. By self-designating PLGI securities, the reporting entity is certifying its compliance with the requirements as specified in the Purposes and Procedures Manual of the NAIC Investment Analysis Office (P&P Manual) for private letter rating (PLR) securities and the following elements of each self-designated PLGI security:
    a. The security was either:
        i. issued prior to January 1, 2018 (which is exempt from PLR filing requirements pursuant to the P&P Manual), or
        ii. issued from January 1, 2018 to December 31, 2021 and subject to a confidentiality agreement executed prior to January 1, 2022 which confidentiality agreement remains in force, for which an insurance company cannot provide a copy of a private letter rating rationale report to the SVO due to confidentiality or other contractual reasons ("waived submission PLR securities").
    b. The reporting entity is holding capital commensurate with the NAIC Designation and NAIC Designation Category reported for the security.
    c. The NAIC Designation and NAIC Designation Category were derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating, dated during the financial statement year, held by the insurer and available for examination by state insurance regulators.
    d. Other than for waived submission PLR securities, defined above, on or after January 1, 2024 for any PLR securities issued on or after January 1, 2022, if the reporting entity is not permitted to share this private credit rating or the private rating letter rationale report of the PL security with the SVO, it certifies that it is reporting it as an NAIC 5.B GI and may not assign any other self-designation.

    Has the reporting entity self-designated PLGI to securities, all of which meet the above requirement and as specified in the P&P Manual? ...... Yes [ ] No [X]

20. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The shares were purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? .... Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

		1 Amount
1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:	
	1.1 Long-Term Mortgages In Good Standing	
	1.11 Farm Mortgages	$ 0
	1.12 Residential Mortgages	$ 0
	1.13 Commercial Mortgages	$ 0
	1.14 Total Mortgages in Good Standing	$ 0
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms	$ 0
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	$ 0
	1.32 Residential Mortgages	$ 0
	1.33 Commercial Mortgages	$ 0
	1.34 Total Mortgages with Interest Overdue more than Three Months	$ 0
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	$ 0
	1.42 Residential Mortgages	$ 0
	1.43 Commercial Mortgages	$ 0
	1.44 Total Mortgages in Process of Foreclosure	$ 0
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$ 0
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	$ 0
	1.62 Residential Mortgages	$ 0
	1.63 Commercial Mortgages	$ 0
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	$ 0
2.	Operating Percentages:	
	2.1 A&H loss percent	0.000 %
	2.2 A&H cost containment percent	0.000 %
	2.3 A&H expense percent excluding cost containment expenses	0.000 %
3.1	Do you act as a custodian for health savings accounts?	Yes [ ] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	$ 0
3.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	$ 0
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [X] No [ ]
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [ ] No [ ]

Fraternal Benefit Societies Only:

5.1	In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?	Yes [ ] No [ ] N/A [X]
5.2	If no, explain:	
6.1	Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?	Yes [ ] No [X]
6.2	If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?	

Date	Outstanding Lien Amount
Total	0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

		Direct Business Only					
	1	Life Contracts		4	5	6	7
		2	3				
States, Etc.	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1. Alabama AL	L	17,273	0	0	0	17,273	0
2. Alaska AK	L	0	0	0	0	0	0
3. Arizona AZ	L	20,063	0	0	0	20,063	0
4. Arkansas AR	L	6,883	0	0	0	6,883	0
5. California CA	L	19,833	0	0	0	19,833	0
6. Colorado CO	L	9,202	0	0	0	9,202	0
7. Connecticut CT	L	(114)	0	0	0	(114)	0
8. Delaware DE	L	270	0	0	0	270	0
9. District of Columbia DC	L	4,021	0	0	0	4,021	0
10. Florida FL	L	154,948	0	0	0	154,948	0
11. Georgia GA	L	22,060	0	0	0	22,060	0
12. Hawaii HI	L	7,958	0	0	0	7,958	0
13. Idaho ID	L	12,527	0	0	0	12,527	0
14. Illinois IL	L	45,513	0	0	0	45,513	0
15. Indiana IN	L	(91,407)	0	0	0	(91,407)	0
16. Iowa IA	L	4,296	0	0	0	4,296	0
17. Kansas KS	L	28,064	0	0	0	28,064	0
18. Kentucky KY	L	4,801	0	0	0	4,801	0
19. Louisiana LA	L	9,821	0	0	0	9,821	0
20. Maine ME	L	0	0	0	0	0	0
21. Maryland MD	L	28,070	0	0	0	28,070	0
22. Massachusetts MA	L	3,633	0	0	0	3,633	0
23. Michigan MI	L	(77,920)	0	0	0	(77,920)	0
24. Minnesota MN	L	(193,757)	0	0	0	(193,757)	0
25. Mississippi MS	L	14,631	0	0	0	14,631	0
26. Missouri MO	L	(18,735)	0	0	0	(18,735)	0
27. Montana MT	L	258	0	0	0	258	0
28. Nebraska NE	L	8,384	0	0	0	8,384	0
29. Nevada NV	L	278	0	0	0	278	0
30. New Hampshire NH	L	2,987	0	0	0	2,987	0
31. New Jersey NJ	L	54,446	0	0	0	54,446	0
32. New Mexico NM	L	4,984	0	0	0	4,984	0
33. New York NY	N	(609,516)	0	0	0	(609,516)	0
34. North Carolina NC	L	9,660	0	0	0	9,660	0
35. North Dakota ND	L	10,581	0	0	0	10,581	0
36. Ohio OH	L	23,221	0	0	0	23,221	0
37. Oklahoma OK	L	5,954	0	0	0	5,954	0
38. Oregon OR	L	1,367	0	0	0	1,367	0
39. Pennsylvania PA	L	(340,259)	0	0	0	(340,259)	0
40. Rhode Island RI	L	0	0	0	0	0	0
41. South Carolina SC	L	20,698	0	0	0	20,698	0
42. South Dakota SD	L	19,066	0	0	0	19,066	0
43. Tennessee TN	L	61,314	0	0	0	61,314	0
44. Texas TX	L	37,840	0	0	0	37,840	0
45. Utah UT	L	(17,117)	0	0	0	(17,117)	0
46. Vermont VT	L	0	0	0	0	0	0
47. Virginia VA	L	12,484	0	0	0	12,484	0
48. Washington WA	L	11,485	0	0	0	11,485	0
49. West Virginia WV	L	5,595	0	0	0	5,595	0
50. Wisconsin WI	L	11,102	0	0	0	11,102	0
51. Wyoming WY	L	0	0	0	0	0	0
52. American Samoa AS	N	0	0	0	0	0	0
53. Guam GU	N	0	0	0	0	0	0
54. Puerto Rico PR	N	456	0	0	0	456	0
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0
56. Northern Mariana Islands MP	N	0	0	0	0	0	0
57. Canada CAN	N	0	0	0	0	0	0
58. Aggregate other alien OT	XXX	0	0	0	0	0	0
59. Subtotal	XXX	(632,799)	0	0	0	(632,799)	0
90. Reporting entity contributions for employee benefits plans	XXX	0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX	0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX	0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX	18,620	0	0	0	18,620	0
94. Aggregate or other amounts not allocable by state	XXX	0	0	0	0	0	0
95. Totals (direct business)	XXX	(614,179)	0	0	0	(614,179)	0
96. Plus reinsurance assumed	XXX	0	0	0	0	0	0
97 Totals (all business)	XXX	(614,179)	0	0	0	(614,179)	0
98. Less reinsurance ceded	XXX	(10,794,257)	0	0	0	(10,794,257)	0
99. Totals (all business) less reinsurance ceded	XXX	10,180,078	0	0	0	10,180,078	0
DETAILS OF WRITE-INS							
58001. Other Alien	XXX	0	0	0	0	0	0
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG 50
2. R - Registered - Non-domiciled RRGs 0
3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
4. Q - Qualified - Qualified or accredited reinsurer 0
5. N - None of the above - Not allowed to write business in the state 7

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Ownership %	Federal ID#	NAIC Code	State of Domicile
Equitable Holdings, Inc	-	90-0226248		
Alpha Units Holdings, Inc.	100.00	83-2796390		DE
AllianceBernstein LP	26.52	13-4064930		
AllianceBernstein Corporation	100.00	13-3633538		
Alpha Units Holdings II, Inc.	100.00	68-0461436		DE
AllianceBernstein LP	14.09	13-4064930		
787 Holdings, LLC	100.00	27-0294443		
1285 Holdings, LLC	100.00	46-1106388		
AllianceBernstein LP	27.39	13-4064930		
Equitable Financial Services, LLC	100.00	52-2197822		
CS Life Re Company	100.00	46-5697182	15502	AZ
Equitable Financial Investment Management, LLC	100.00	87-1424173		
Equitable Investment Management, LLC	100.00	88-2794295		
Equitable Distribution Holding Corporation	100.00	13-4078005		
Equitable Advisors, LLC	100.00	13-4071393		
Equitable Network, LLC	100.00	06-1555494		
Penn Investment Advisors, Inc	100.00	38-3802854		
PlanConnect, LLC	100.00	27-1540220		
Stifel Independent Advisors, LLC	100.00	43-1567959		
EQ AZ Life Re Company	100.00	82-3971925	16234	AZ
Equitable Financial Bermuda RE Ltd.	100.00	98-1809871		
Equitable Financial Life Insurance Company	100.00	13-5570651	62944	NY
Equitable Investment Management Group, LLC	100.00	27-5373651		
EVSA, Inc	100.00	23-2671508		
ECA Residentail LLC	100.00	92-0860868		
Farmland Investors, LLC	100.00	33-3290749		NY
Broad Vista Partners, LLC	70.00	81-3019204		
200 East 87th Street Company, LLC	100.00	86-3691523		
Westory 14th Street LLC	100.00	99-1043155		
EQ European Commercial Real Estate Debt Holdings LLC	100.00	85-3881722		
EQ European Commercial Real Estate Debt Holdings GP S.à r.l.	100.00	98-1588168		
EQ Holdings, LLC	100.00	22-2766036		
Equitable Casualty Insurance Company	100.00	06-1166226	10589	VT
Equitable Distributors, LLC	100.00	52-2233674		
JMR Reality services, Inc	100.00	13-3813232		
Equitable Structured Settlement Corporation	100.00	22-3492811		DE
Equitable Financial Life and Annuity Company	100.00	13-3198083	62880	CO
Equitable Financial Life Insurance Company of America	100.00	86-0222062	78077	AZ
Equitable Financial Investment Management America, LLC	100.00	93-2098229		
ECA AZ Residential LLC	100.00	93-3718520		DE
MONY International Holdings, LLC	100.00	13-3790446		

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Ownership %	Federal ID#	NAIC Code	State of Domicile
MONY Financial Services, Inc	100.00	11-3722370		
Financial Marketing Agency, Inc.	99.00	31-1465146		
1740 Advisors, Inc.	100.00	13-2645490		

SCHEDULE Y

PART 1A - DETAILS OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
4965	Equitable Holdings Inc Grp	00000	90-0226248		1333986	New York Stock Exchange	Equitable Holdings, Inc	US	UIP	Publicly Traded	Ownership	0.000	Publicly Traded		
0000		00000	83-2796390				Alpha Units Holdings, Inc.	US	NIA	Equitable Holdings, Inc	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	13-4064930		1109448	New York Stock Exchange	AllianceBernstein LP	US	NIA	Alpha Units Holdings, Inc.	Ownership	26.520	Equitable Holdings, Inc		
0000		00000	13-3633538				AllianceBernstein Corporation	US	NIA	Alpha Units Holdings, Inc.	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	68-0461436				Alpha Units Holdings II, Inc.	US	NIA	Equitable Holdings, Inc	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	13-4064930		1109448	New York Stock Exchange	AllianceBernstein LP	US	NIA	Alpha Units Holdings II, Inc.	Ownership	14.090	Equitable Holdings, Inc		
0000		00000	27-0294443				787 Holdings, LLC	US	NIA	Equitable Holdings, Inc	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	46-1106388				1285 Holdings, LLC	US	NIA	Equitable Holdings, Inc	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	13-4064930		1109448	New York Stock Exchange	AllianceBernstein LP	US	NIA	Equitable Holdings, Inc	Ownership	27.390	Equitable Holdings, Inc		
0000		00000	52-2197822		1257148		Equitable Financial Services, LLC	US	UDP	Equitable Holdings, Inc	Ownership	100.000	Equitable Holdings, Inc		
4965	Equitable Holdings Inc Grp	15502	46-5697182				CS Life Re Company	US	IA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	87-1424173				Equitable Financial Investment Management, LLC	US	NIA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	88-2794295				Equitable Investment Management, LLC	US	NIA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	13-4078005				Equitable Distribution Holding Corporation	US	NIA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	13-4071393		33179		Equitable Advisors, LLC	US	NIA	Equitable Distribution Holding Corporation	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	06-1555494		1292309		Equitable Network, LLC	US	NIA	Equitable Distribution Holding Corporation	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	38-3802854				Penn Investment Advisors, Inc	US	NIA	Equitable Distribution Holding Corporation	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	27-1540220				PlanConnect, LLC	US	NIA	Equitable Distribution Holding Corporation	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	43-1567959				Stifel Independent Advisors, LLC	US	NIA	Equitable Distribution Holding Corporation	Ownership	100.000	Equitable Holdings, Inc		
4965	Equitable Holdings Inc Grp	16234	82-3971925				EQ AZ Life Re	US	IA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	98-1809871				Equitable Financial Bermuda RE Ltd.	BMU	IA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
4965	Equitable Holdings Inc Grp	62944	13-5570651		727920		Equitable Financial Life Insurance Company	US	IA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	27-5373651				Equitable Investment Management Group, LLC	US	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	23-2671508				EVSA, Inc	US	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	92-0860868				ECA Residentail LLC	US	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	33-3290749				Farmland Investors, LLC	US	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	81-3019204				Broad Vista Partners, LLC	US	NIA	Equitable Financial Life Insurance Company	Ownership	70.000	Equitable Holdings, Inc		
0000		00000	86-3691523				200 East 87th Street Company, LLC	US	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	99-1043155				Westory 14th Street LLC	LUX	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	85-3881722				EQ European Commercial Real Estate Debt Holdings LLC	LUX	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	98-1588168				EQ European Commercial Real Estate Debt Holdings GP S.à r.l.	LUX	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	22-2766036		1257149		Equitable Holdings, LLC	US	NIA	Equitable Financial Life Insurance Company	Ownership	100.000	Equitable Holdings, Inc		
4965	Equitable Holdings Inc Grp	10589	06-1166226				Equitable Casualty Insurance Company	US	NIA	Equitable Holdings, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	52-2233674		858875		Equitable Distributors, LLC	US	NIA	Equitable Holdings, LLC	Ownership	100.000	Equitable Holdings, Inc		

SCHEDULE Y

PART 1A - DETAILS OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Yes/No)	16 *
0000		00000	13-3813232				JMR Reality services, Inc	US	NIA	Equitable Holdings, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	22-3492811				Equitable Structured Settlement Corp.	US	NIA	Equitable Holdings, LLC	Ownership	100.000	Equitable Holdings, Inc		
4965	Equitable Holdings Inc Grp	62880	13-3198083		1342913		Equitable Financial Life and Annuity Company	US	RE	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
4965	Equitable Holdings Inc Grp	78077	86-0222062		835357		Equitable Financial Life Insurance Company of America	US	IA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	93-2098229				Equitable Financial Investment Management America, LLC	US	NIA	Equitable Financial Life Insurance Company of America	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	93-3718520				ECA AZ Residential LLC	US	NIA	Equitable Financial Life Insurance Company of America	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	13-3790446				MONY International Holdings, LLC	US	NIA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	11-3722370				MONY Financial Services, Inc	US	NIA	Equitable Financial Services, LLC	Ownership	100.000	Equitable Holdings, Inc		
0000		00000	31-1465146				Financial Marketing Agency, Inc.	US	NIA	MONY Financial Services, Inc	Ownership	99.000	Equitable Holdings, Inc		
0000		00000	13-2645490				1740 Advisors, Inc.	US	NIA	MONY Financial Services, Inc	Ownership	100.000	Equitable Holdings, Inc		

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. In the case of an ongoing statement of exemption, enter "SEE EXPLANATION" and provide as an explanation that the company is utilizing an ongoing statement of exemption.	N/A

AUGUST FILING

9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

1.

2.

3.

4.

5.

6.

7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
6 2 8 8 0 2 0 2 6 4 9 0 0 0 0 0 1

2. Medicare Part D Coverage Supplement [Document Identifier 365]
6 2 8 8 0 2 0 2 6 3 6 5 0 0 0 0 1

3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
6 2 8 8 0 2 0 2 6 4 4 5 0 0 0 0 1

4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
6 2 8 8 0 2 0 2 6 4 4 6 0 0 0 0 1

5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6 2 8 8 0 2 0 2 6 4 4 7 0 0 0 0 1

6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
6 2 8 8 0 2 0 2 6 4 4 8 0 0 0 0 1

7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]
6 2 8 8 0 2 0 2 6 4 4 9 0 0 0 0 1

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase/(decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase/(decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium, depreciation and proportional amortization		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	251,193,234	296,096,338
2. Cost of bonds and stocks acquired	0	34,208,593
3. Accrual of discount	106,646	659,465
4. Unrealized valuation increase/(decrease)	0	0
5. Total gain (loss) on disposals	(2,215,229)	1,037,274
6. Deduct consideration for bonds and stocks disposed of	28,265,060	80,650,465
7. Deduct amortization of premium	54,009	189,905
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	0	31,935
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	220,765,582	251,193,234
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	220,765,582	251,193,234

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
ISSUER CREDIT OBLIGATIONS (ICO)								
1. NAIC 1 (a)	185,156,831	0	23,705,076	(503,876)	160,947,879	0	0	185,156,831
2. NAIC 2 (a)	64,672,488	0	6,732,401	556,381	58,496,468	0	0	64,672,488
3. NAIC 3 (a)	0	0	0	0	0	0	0	0
4. NAIC 4 (a)	0	0	0	0	0	0	0	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total ICO	249,829,318	0	30,437,477	52,505	219,444,347	0	0	249,829,318
ASSET-BACKED SECURITIES (ABS)								
8. NAIC 1	1,363,916	0	42,813	132	1,321,235	0	0	1,363,916
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total ABS	1,363,916	0	42,813	132	1,321,235	0	0	1,363,916
PREFERRED STOCK								
15. NAIC 1	0	0	0	0	0	0	0	0
16. NAIC 2	0	0	0	0	0	0	0	0
17. NAIC 3	0	0	0	0	0	0	0	0
18. NAIC 4	0	0	0	0	0	0	0	0
19. NAIC 5	0	0	0	0	0	0	0	0
20. NAIC 6	0	0	0	0	0	0	0	0
21. Total Preferred Stock	0	0	0	0	0	0	0	0
22. Total ICO, ABS & Preferred Stock	251,193,234	0	30,480,290	52,637	220,765,582	0	0	251,193,234

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ ....0 ; NAIC 2 $ ....0 ; NAIC 3 $ ....0 NAIC 4 $ ....0 ; NAIC 5 $ ....0 ; NAIC 6 $ 0

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	6,676,725	33,702,725
2.	Cost of cash equivalents acquired	46,164,000	81,937,000
3.	Accrual of discount	0	0
4.	Unrealized valuation increase/(decrease)	0	0
5.	Total gain (loss) on disposals	0	0
6.	Deduct consideration received on disposals	36,283,000	108,963,000
7.	Deduct amortization of premium	0	0
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	16,557,725	6,676,725
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	16,557,725	6,676,725

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

NONE

Schedule D - Part 3 - Long-Term Bonds and Stocks Acquired

NONE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Ident-ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
020002-BJ-9	ALLSTATE CORP	02/19/2026	CITIGROUP GLOBAL MARKETS, INC		1,015,335	1,154,000	1,150,123	1,152,022	0	53	0	53	0	1,152,075	0	(136,740)	(136,740)	3,021	12/15/2030	2.A FE
037833-ED-8	APPLE INC	03/05/2026	BNY MELLON/TORONTO DOMINION SEC		897,800	1,000,000	999,720	999,855	0	5	0	5	0	999,860	0	(102,060)	(102,060)	9,533	02/08/2031	1.B FE
110122-DQ-8	BRISTOL-MYERS SQUIBB CO	02/19/2026	Goldman Sachs		889,390	1,000,000	998,330	999,161	0	23	0	23	0	999,184	0	(109,794)	(109,794)	3,907	11/13/2030	1.F FE
231021-AT-3	CUMMINS INC	02/19/2026	SUSQUEHANNA FINANCIAL GROUP LLP		3,093,713	3,445,000	3,440,418	3,442,797	0	61	0	61	0	3,442,858	0	(349,144)	(349,144)	24,259	09/01/2030	1.F FE
24422E-VL-0	JOHN DEERE CAPITAL CORP	02/19/2026	CHASE SECURITIES INC		888,340	1,000,000	997,210	998,548	0	38	0	38	0	998,586	0	(110,246)	(110,246)	8,660	01/15/2031	1.E FE
254687-FX-9	WALT DISNEY CO	03/05/2026	Goldman Sachs		939,360	1,000,000	999,320	999,679	0	11	0	11	0	999,689	0	(60,329)	(60,329)	17,151	01/13/2031	1.F FE
277432-AW-0	EASTMAN CHEMICAL CO	02/19/2026	MORGAN STANLEY DEAN WITTER		1,515,210	1,500,000	1,486,890	1,495,624	0	194	0	194	0	1,495,818	0	19,392	19,392	14,813	12/01/2028	2.B FE
29364W-BE-7	ENTERGY LOUISIANA LLC	03/05/2026	BARCLAYS CAPITAL		495,858	560,000	557,816	558,886	0	38	0	38	0	558,924	0	(63,066)	(63,066)	2,016	12/15/2030	1.F FE
30040W-AK-4	EVERSOURCE ENERGY	02/19/2026	HEADLANDS TECH GLOBAL MARKETS		132,571	149,000	128,031	136,708	0	332	0	332	0	137,040	0	(4,469)	(4,469)	1,263	08/15/2030	2.B FE
30212P-BL-8	EXPEDIA GROUP INC	03/05/2026	SUMRIDGE PARTNERS LLC		1,025,189	1,023,000	1,016,003	1,016,467	0	100	0	100	0	1,016,567	0	8,622	8,622	30,843	02/15/2035	2.B FE
437076-CF-7	HOME DEPOT INC	02/19/2026	BARCLAYS CAPITAL		874,970	1,000,000	994,040	996,860	0	80	0	80	0	996,940	0	(121,970)	(121,970)	5,920	03/15/2031	1.F FE
459200-KA-8	INTERNATIONAL BUSINESS MACHINES CORP	03/05/2026	Goldman Sachs		981,240	1,000,000	1,074,280	1,028,705	0	(1,446)	0	(1,446)	0	1,027,259	0	(46,019)	(46,019)	10,792	05/15/2029	1.G FE
46647P-BX-3	JPMORGAN CHASE & CO	03/05/2026	MORGAN STANLEY DEAN WITTER		1,784,040	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	(215,960)	(215,960)	23,002	02/04/2032	1.F FE
512807-AV-0	LAM RESEARCH CORP	02/19/2026	MORGAN STANLEY DEAN WITTER		914,660	1,000,000	1,045,460	1,020,731	0	(660)	0	(660)	0	1,020,071	0	(105,411)	(105,411)	3,431	06/15/2030	1.G FE
58933Y-AZ-8	MERCK & CO INC	03/05/2026	Various		1,799,506	2,000,000	1,982,851	1,991,996	0	249	0	249	0	1,992,245	0	(192,739)	(192,739)	4,730	06/24/2030	1.E FE
615369-AP-0	MOODY'S CORP	03/05/2026	JANE STREET EXECUTION SERVICES LLC		1,005,600	1,000,000	1,079,390	1,026,594	0	(1,574)	0	(1,574)	0	1,025,019	0	(19,419)	(19,419)	25,382	02/01/2029	2.A FE
70450Y-AL-7	PAYPAL HOLDINGS INC	03/05/2026	BARCLAYS CAPITAL		1,986,100	2,000,000	1,993,080	1,995,297	0	112	0	112	0	1,995,410	0	(9,310)	(9,310)	23,222	06/01/2032	1.G FE
79466L-AJ-3	SALESFORCE INC	03/05/2026	CITADEL SECURITIES		455,044	515,000	513,512	514,142	0	26	0	26	0	514,168	0	(59,124)	(59,124)	6,444	07/15/2031	1.E FE
828807-CW-5	SIMON PROPERTY GROUP LP	01/15/2026	Maturity @ 100.00		349,000	349,000	348,903	349,000	0	0	0	0	0	349,000	0	0	0	5,759	01/15/2026	1.G FE
857477-BG-7	STATE STREET CORP	03/05/2026	MORGAN STANLEY DEAN WITTER		1,416,915	1,500,000	1,493,910	1,497,355	0	109	0	109	0	1,497,464	0	(80,549)	(80,549)	22,200	01/24/2030	1.F FE
86562M-CE-8	SUMITOMO MITSUI FINANCIAL GROUP, INC	03/05/2026	SMBC Nikko		884,290	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	(115,710)	(115,710)	11,115	01/12/2031	1.G FE
872540-AW-9	TJX COMPANIES INC	03/05/2026	CITADEL SECURITIES		285,506	323,000	323,094	323,051	0	(2)	0	(2)	0	323,049	0	(37,543)	(37,543)	1,593	05/15/2031	1.F FE
91159H-JA-9	US BANCORP	02/19/2026	DEUTSCHEALEX BROWN EUROPE		1,782,260	2,000,000	1,987,180	1,993,936	0	177	0	177	0	1,994,113	0	(211,853)	(211,853)	15,889	07/22/2030	1.G FE
91324P-DX-7	UNITEDHEALTH GROUP INC	03/05/2026	Goldman Sachs		917,050	1,000,000	991,570	996,104	0	151	0	151	0	996,254	0	(79,204)	(79,204)	6,167	05/15/2030	1.F FE
98138H-AJ-0	WORKDAY INC	03/05/2026	SUMRIDGE PARTNERS LLC		1,893,300	2,000,000	1,860,840	1,903,540	0	2,340	0	2,340	0	1,905,880	0	(12,580)	(12,580)	32,722	04/01/2032	2.A FE
0089999999. Subtotal - issuer credit obligations - corporate bonds (unaffiliated)					28,222,247	30,518,000	30,461,969	30,437,057	0	419	0	419	0	30,437,477	0	(2,215,229)	(2,215,229)	313,833	XXX	XXX
0489999999. Total - issuer credit obligations (unaffiliated)					28,222,247	30,518,000	30,461,969	30,437,057	0	419	0	419	0	30,437,477	0	(2,215,229)	(2,215,229)	313,833	XXX	XXX
0499999999. Total - issuer credit obligations (affiliated)					0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
0509999997. Total - issuer credit obligations - Part 4					28,222,247	30,518,000	30,461,969	30,437,057	0	419	0	419	0	30,437,477	0	(2,215,229)	(2,215,229)	313,833	XXX	XXX
0509999998. Total - issuer credit obligations - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
0509999999. Total - issuer credit obligations					28,222,247	30,518,000	30,461,969	30,437,057	0	419	0	419	0	30,437,477	0	(2,215,229)	(2,215,229)	313,833	XXX	XXX
3132J8-6B-1	FH Q17665 - RMBS	03/01/2026	Paydown		18,883	18,883	18,384	18,882	0	1	0	1	0	18,883	0	0	0	83	04/01/2043	1.A
3138A3-6X-0	FN AH2685 - RMBS	03/01/2026	Paydown		6,310	6,310	6,221	6,310	0	0	0	0	0	6,310	0	0	0	42	01/01/2041	1.A
3138W7-UN-2	FN AR9588 - RMBS	03/01/2026	Paydown		17,620	17,620	17,213	17,620	0	0	0	0	0	17,620	0	0	0	116	03/01/2043	1.A
1039999999. Subtotal - asset-backed securities - financial asset-backed securities - self-liquidating - agency residential mortgage-backed securities - not/partially guaranteed (not exempt from RBC)					42,813	42,813	41,818	42,812	0	1	0	1	0	42,813	0	0	0	240	XXX	XXX
1889999999. Total - asset-backed securities (unaffiliated)					42,813	42,813	41,818	42,812	0	1	0	1	0	42,813	0	0	0	240	XXX	XXX
1899999999. Total - asset-backed securities (affiliated)					0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
1909999997. Total - asset-backed securities - Part 4					42,813	42,813	41,818	42,812	0	1	0	1	0	42,813	0	0	0	240	XXX	XXX
1909999998. Total - asset-backed securities - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
1909999999. Total - asset-backed securities					42,813	42,813	41,818	42,812	0	1	0	1	0	42,813	0	0	0	240	XXX	XXX
2009999999. Total - issuer credit obligations and asset-backed securities					28,265,060	30,560,813	30,503,787	30,479,870	0	420	0	420	0	30,480,290	0	(2,215,229)	(2,215,229)	314,073	XXX	XXX
4509999997. Total - preferred stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
4509999998. Total - preferred stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - preferred stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999997. Total - common stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Ident-ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
5989999998. Total - common stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - common stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5999999999. Total - preferred and common stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
6009999999 - Totals					28,265,060	XXX	30,503,787	30,479,870	0	420	0	420	0	30,480,290	0	(2,215,229)	(2,215,229)	314,073	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Restricted Asset Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
BANK OF AMERICA FAIRFIELD, CT		0.000	0	0	(1,110,465)	(359,274)	(5,979,083)	XXX
BANK OF AMERICA FORT WORTH, TX		0.000	0	0	51,687	96,273	(2,566,971)	XXX
JPMORGAN CHASE BANK, N.A. NEW YORK, NY		0.000	0	0	7,164,980	11,309,766	6,069,052	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (see instructions) - open depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - open depositories	XXX	XXX	0	0	6,106,202	11,046,765	(2,477,003)	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (see instructions) - suspended depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - suspended depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total cash on deposit	XXX	XXX	0	0	6,106,202	11,046,765	(2,477,003)	XXX
0499999. Cash in company's office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total	XXX	XXX	0	0	6,106,202	11,046,765	(2,477,003)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Restricted Asset Code	4 Date Acquired	5 Stated Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0489999999. Total - issuer credit obligations (unaffiliated)						0	0	0
0499999999. Total - issuer credit obligations (affiliated)						0	0	0
0509999999. Total - issuer credit obligations						0	0	0
018616-75-5	AB GOVT MM PORT AB		03/25/2026	3.510		16,557,725	0	0
8309999999. Subtotal - all other money market mutual funds						16,557,725	0	0
8589999999. Total cash equivalents (unaffiliated)						16,557,725	0	0
8599999999. Total cash equivalents (affiliated)						0	0	0
8609999999 - Total cash equivalents						16,557,725	0	0